QP CODE: 22009142

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Reg No : .....

Name : .....

B.COM DEGREE (CBCS) PRIVATE (REAPPEARANCE) EXAMINATIONS, NOVEMBER 2022

Third Semester

B.COM

CORE COURSE - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

2017-2019 ADMISSIONS

110262E3

Time: 3 Hours Max. Marks : 80

Instructions:This question paper contains two sections.Answer Section I questions in the answer book provided. Section II Internal Examination questions must be answered in the question paper itself.Follow the detailed instructions given under Section II.

SECTION I

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. What do you mean by primary instruments ?
2. What is 'Payment Bank'?
3. What is ICD? Explain with example.
4. What is an Offer Document?
5. What is meant by ASBA?
6. Explain 'Nifty Fifty'.
7. What do you mean by Day Trading?
8. What do you mean by DIIs and FIIs?
9. "Mutual funds provide pooling advantage". Explain.
10. Briefly explain how NAV of mutual funds is calculated.
11. What are the features of derivatives?
12. Define Swaps Contracts.

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. Explain briefly about the important regulatory authorities in Indian financial system.
14. Critically analyse the role of RBI in Indian money market.
15. What is qualified institutional placement? Briefly explain the conditions to be followed by companies for effecting qualified institutions placement?
16. Explain different types of orders placed before the stock brokers by traders in the stock market.
17. "Presence of insider trading shows that the stock market is inefficient". Comment.
18. Which are the parties involved in mutual fund schemes? Explain each of them.
19. Make a note on ETFs with appropriate examples.
20. Mention various characterisitcs of Futures Contracts.
21. Make a note on the following:
    a) MCX
    b) NCDEX
    c) ICEX

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. "Government securities play an essential role in developing and regulating Indian capital market". Analyse the statement with regard to different types of government securities.
23. "The financial markets and instruments are always subject to innovation". Discuss about financial innovation and innovative financial instruments in the global scenario.
24. What is meant by member of a stock exchange? Elaborate about the major members of stock exchanges in India.
25. Explain the Constitution and Management of mutual funds in India.

(2×15=30)